

# Additional Individuals Form

Please use this form if there are more than two (2) individuals to include in Section 1 or 2 of the Application Form (including trustees, company directors, partners, beneficial owners or beneficiaries)

	Additional Individual	Additional Individual
Individual type	Individual / Joint investor Individual trustee Company director Partner Beneficiary Beneficial owner	Individual / Joint investor Individual trustee Company director Partner Beneficiary Beneficial owner

Title

Given name(s)

Surname

Preferred name

Date of birth

Details of class, if any (beneficiaries only)

Tax file number (TFN) or specify your exemption category

US citizen or resident of the US for tax purposes (if applicable)	YES	NO	YES	NO
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*If YES, please download and complete a FATCA form available at [www.trilogyfunds.com.au/forms](http://www.trilogyfunds.com.au/forms).*

Non-resident of Australia, other than US citizen or tax resident (if applicable)	YES	NO	YES	NO
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*If YES, please download and complete a Self-Certification Declaration Form available at [www.trilogyfunds.com.au/forms](http://www.trilogyfunds.com.au/forms).*

Politically exposed person (PEP) details (if applicable) <i>Please see <a href="http://www.austrac.gov.au">www.austrac.gov.au</a> for PEP definition</i>	YES	NO	YES	NO
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## Address and contact details

same as other Additional Individual

Residential street address

City, State, and Postcode

Country (if not Australia)

PO Box or Postal address  
*Only complete if different to your residential address*

Phone\*

Email\*

\* A unique mobile and email is required for portal access for each individual.

## Identification requirements

There are two methods that may be used to verify your identity for Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) purposes. You may either complete the fields within this form to have your identification verified online, or attach certified copies of the documents outlined in the further identification checklist at the end of the Application Form. Trilogy Funds is required by AML/CTF laws to identify and verify your identity before providing financial services to you. Please see Trilogy Funds' privacy policy on the website [www.trilogyfunds.com.au](http://www.trilogyfunds.com.au) in relation to our use of your personal information.

## Drivers Licence

Drivers licence no.

Drivers licence expiry date

Card number (if applicable in your State)

State of issue

Your name, exactly as it appears  
on your licence.

## Australian passport

Passport number

Expiry date

Your name, exactly as it appears  
on your passport.

Place of birth (as shown on your passport)

Country of birth

## Section 8: Investor Declarations and acknowledgements

An investment in the Trust/Fund is offered by Trilogy Funds Management Limited (Trilogy Funds) ABN 59 080 383 679 Australian Financial Services Licence Number 261425. Before signing this Application Form it is important that you have read and understood the PDS and TMD.

### By signing this Application Form I/we declare that:

- All details in this Application Form and any other information provided in support of the application are complete and accurate.
- I/We acknowledge that I/we have read and understood the relevant TMD.
- I/We have read the PDS and TMD to which this Application Form applies and agree to the offer contained in it and to be bound by the terms and conditions and provisions of the Trust/Fund's Constitution/Trust Deed (as amended) which governs the Trust/Fund.
- If I/we have received the PDS and TMD from the internet or other electronic means, I/we declare that I/we have received it personally, or a printout of it, accompanied by or attached to the Application Form before making an application for investment in the Trust/Fund.
- I/We agree to the collection, use and disclosure of my/our personal information as set out in Trilogy Funds' privacy policy when I/we make an investment in the Trust/Fund.
- I/We acknowledge that Trilogy Funds (or its related entities) may use all information relating to this Application Form or any subsequent information I/we give you relating to my/our investment, for the purpose of administering my/our business relationship with Trilogy Funds and in doing so, Trilogy Funds may disclose my/our information to related entities and to any service provider (e.g. mail houses and data processors) to the Trust/Fund.
- I/We understand that Trilogy Funds may send me/us additional information from time to time concerning products or services of Trilogy Funds and that this authority will continue unless rescinded in writing by me/us.
- In the case of joint applications, the Joint Investors agree that unless otherwise expressly indicated on this Application Form, the Units will be held as joint tenants.
- If this Application Form is signed under Power of Attorney, the Attorney declares that they have not received notice of revocation of that power (a certified copy of the Power of Attorney must be submitted with this application).
- I/We have all requisite power and authority to execute and perform the obligations under the PDS and this Application Form.
- Other than as disclosed in this Application Form, no person or entity controlling, owning or otherwise holding a Unit is a tax resident of any country other than Australia including a United States citizen or resident of the United States for taxation purposes (US Person).
- I/We will promptly notify Trilogy Funds of any change to the information I/we have previously provided to Trilogy Funds, including any changes which result in a person or entity controlling, owning or otherwise holding a Unit who is a US Person or a tax resident of any country other than Australia.
- I/We consent to Trilogy Funds disclosing any information it has in compliance with its obligations under intergovernmental agreement between the Government of Australia and the Government of other countries in respect of the exchange of tax and financial account information and any related Australian law and guidance implementing the same (together, the IGA). This may include disclosing information to the Australian Taxation Office, who may in turn report that information to the relevant foreign equivalent.
- I/We acknowledge that the collection of my/our personal information may be required by the OECD's Common Reporting Standard in Australia, Financial Transaction Reports Act 1988, the Corporations Act 2001, the Income Tax Assessment Act 1936, the Income Tax Assessment Act 1997, the Taxation Administration Act 1953, and the Anti-Money Laundering and Counter-Terrorism Financing Act 2006. Otherwise, the collection of information is not required by law, but I/we acknowledge that if I/we do not provide personal information, Trilogy Funds may not allow me/us to invest in the Trust/Fund.

**Declaration and Acknowledgements Continued on next page (ensure this page is submitted with your completed Application Form).**

- I/We are not aware and have no reason to suspect that the monies used to fund my/our investment in the Trust/Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other activities illegal under any applicable law or regulations or otherwise prohibited under any international convention or agreement.
- I/We will provide Trilogy Funds with all additional information and assistance that Trilogy Funds may request in order for Trilogy Funds to comply with any AML/CTF law, or to comply with the intergovernmental agreements to exchange financial account information.
- I/We acknowledge that Trilogy Funds may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of any investment in the Trust/Fund, if Trilogy Funds is concerned that the request or transaction may breach any obligation of or cause Trilogy Funds to commit or participate in an offence, including under any AML/CTF law or any intergovernmental agreement to exchange financial account information.
- I/We confirm my/our answers to the Design and Distribution Obligations (DDO) filtering questions at Section 7 of this Application Form are correct.
- I/We wish to proceed with this investment notwithstanding in Section 7 I/we may have answered 'No' to some or all of the filtering questions or are investing more of my/our available assets than is indicated with the Target Market Determination (TMD) that is issued by Trilogy Funds.
- I/We recognise that should I/we meet the requirements of a retail investor, a cooling off period may be available to me as per the terms of the PDS.
- I/We acknowledge that investment in the Trust/Fund is subject to investment and other risks, including possible delays in repayment and the loss of income and principal invested.
- I/We acknowledge that Trilogy Funds does not guarantee the repayment of capital or the performance of the Trust/Fund or any particular rate of return from the Trust/Fund.
- I/We acknowledge that Trilogy Funds may deliver and make reports, statements and other communications available in electronic form, such as email or by posting on its website.
- I/We acknowledge that I/we hold all necessary approvals I/we require to sign this Application Form to make the investment and hold Units in the Trust/Fund.

**To the extent that the European General Data Protection Regulation (GDPR) applies to Trilogy Funds, Applicants located in the European Union make the following additional declarations:**

- I/We acknowledge that the GDPR imposes specific obligations on Trilogy Funds in relation to its customers that are residents in the European Union.
- I/We provide my/our freely given, specific, informed and unambiguous consent for Trilogy Funds to collect, use and disclose my personal information as set out in Trilogy Funds' privacy policy when I/we make an investment in the Trust/Fund.
- I/We acknowledge that pursuant to the GDPR, I/we retain the right to refuse or withdraw my/our consent to the collection, use and disclosure of personal information at any time.
- I/We acknowledge that Trilogy Funds is required by law to verify and retain certain information in order to engage in its business activities and that exercising my/our right to refuse or withdraw my/our consent may prevent Trilogy Funds from being able to offer the products or services proposed.

**Signature of Additional Individual**

**Signature of Additional Individual**

**Date**

**Date**

**Given name**

**Surname**

**Given name**

**Surname**

**Application checklist**

**Before submitting this Application Form, please ensure that you:**

Have completed all relevant Sections.

Have where indicated signed all relevant Sections.

Have enclosed certified copies of any identification required.

**How to submit your form**

**Please email or post your form and all required documents to:**

Trilogy Funds Management Limited investorrelations@trilogyfunds.com.au  
 GPO Box 1648,  
 BRISBANE QLD 4001

**Please contact us if you have any questions about the process.**

Phone Investor Relations on 1800 230 099 (New Zealand callers phone +800 5510 1230) or email investorrelations@trilogyfunds.com.au